

**SYSTEM AND METHOD FOR PROVIDING EVENT HYSTERESIS  
IN NETWORK MANAGEMENT SYSTEMS**

**CROSS REFERENCE TO RELATED APPLICATIONS**

5        The present application claims the benefit of the filing date of U.S. Application Ser. No. 60/484,505, filed July 2, 2003, the teachings of which are incorporated herein by reference.

**FIELD OF THE INVENTION**

10      The present invention relates to Network Management Systems (NMS), and, in particular, to a system and method incorporating hysteresis principles when processing events, such as an alarm, in a network management system.

**BACKGROUND**

15      NMS systems are employed in a variety of network types to conduct system-level management of the elements of the network. Generally, a NMS performs functions including: alarm/fault management, performance management, configuration management, security management and business/account management. These systems may receive or request information from the underlying network elements, and provide management 20 information to a network administrator through a user interface. A network administrator may also actively manage the configuration of the network and/or network elements through the user interface.

25      In networks such as Wavelength Division Multiplexing (WDM) optical communication networks, event management, e.g. alarm management, is a crucial function of the NMS. In the case of alarm reporting, for example, any alarms reported by a network

element must be accurately reported with minimized latency so that a network administrator may take corrective action. Delays in reporting alarms can lead to unnecessary system failure and loss of network traffic. Inaccurate reporting, e.g. as to the time and/or location of a fault, can also lead to delays in system repair, Service Level Agreement (SLA) violations, and/or 5 unnecessary remedial effort.

Known NMS configurations immediately report all alarms reported by all network elements. A problem arises, however, when reported alarms toggle between states. This can occur in an optical communication network when performance criteria for a network element, e.g. bit error rate, FEC error count, laser current, etc., intermittently moves between 10 acceptable and unacceptable levels, or when a network element is on the verge of failure and generates frequent clearing alarm(s). Forwarding each state change of toggling alarms to a network administrator can cause serious NMS performance and capacity problems, which can even lead to paralyzing the whole NMS through alarm event report flooding. Other impacts 15 include response time degradations, service denial, and overwhelming the network administrator with unimportant information.

Accordingly, there is a need for a system and method for managing toggling events, such as alarms, in an NMS that can prevent performance degradation caused by an excessive number of processed events, while still reporting critical events to the network administrator with minimal latency.

20

## **SUMMARY OF THE INVENTION**

A system consistent with the invention includes a variety of aspects. According to one aspect of the invention there is provided a method of managing an event toggling between first and second event states in the NMS. The method includes: determining if the 25 event maintains one of the first and second states for a predetermined amount of time; and

reporting the maintained one of the first and second states when the one of the first and second states is maintained for the predetermined amount of time. In one embodiment, if the toggling event, e.g. an alarm, is reported as active, and if it remains cleared for a predetermined amount of time it is reported as cleared.

5 According to another aspect of the invention, there is provided a machine readable medium whose contents cause a system to perform a method of managing an event toggling between first and second event states in a network management system including: determining if the event maintains one of the first and second states for a predetermined amount of time; and reporting the maintained one of the first and second states when the one 10 of the first and second states is maintained for the predetermined amount of time. A NMS and an optical communication system are also provided.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

For a better understanding of the present invention, together with other objects, 15 features and advantages, reference should be made to the following detailed description which should be read in conjunction with the following figures wherein like numerals represent like parts:

FIG. 1 is a block diagram of an exemplary optical communication system consistent with the present invention;

20 FIG. 2 is a block flow diagram of an exemplary method of managing toggling alarms consistent with the invention;

FIG. 3 is a block flow diagram of another exemplary method of managing toggling alarms consistent with the invention;

25 FIG. 4 is a flow chart illustrating one exemplary embodiment for automatically updating a hysteresis table for an alarm consistent with the invention; and

FIG. 5 is a flow chart illustrating one exemplary embodiment for clearing stable alarms consistent with the invention.

## DETAILED DESCRIPTION

5 For simplicity and ease of explanation, the present invention will be described herein in connection with various exemplary embodiments thereof associated with toggling alarms, a subset of the more generic toggling event scenario in an optical communication network. Those skilled in the art will recognize that the features and advantages of the present invention may be implemented in a variety of network types and configurations. In addition, 10 10 the invention is not limited to management of toggling alarms, and is applicable to management of any event on a network having a toggling state. It is to be understood, therefore, that the embodiments described herein are presented by way of illustration, not of limitation.

In general, a system and method consistent with the invention manages toggling 15 events, e.g. alarms, in an optical network by providing a hysteresis feature in the alarm reporting function of the NMS. In one embodiment, toggling alarms are reported as active or set alarms to the NMS as soon as they are received from the network elements. These alarms are periodically monitored for state changes. If another event is received indicating that the alarm state is cleared, the system observes the alarm for a period of time, the alarm stable 20 time, to ensure that the alarm is really stable (e.g. cleared). If no additional alarm state changes occur within the alarm stable time, the alarm is reported as clear, with the clear time being the time when the alarm had its last state change. Advantageously, a system and method consistent with the invention may also report that an active alarm is, or is not, a toggling alarm, and also may report the number of times an alarm toggled before it finally 25 was cleared and stayed cleared for the alarm stable time.

This feature advantageously prevents the NMS internal processes from becoming overwhelmed with state changes of toggling alarms, so that the performance of the NMS is not impacted and the user is not flooded with useless information concerning toggling alarms. The important information that an active alarm is in a toggling state may still be provided to 5 the network user. This approach may be implemented with any network event, and is not limited to alarm reporting. Toggling of other network events (such as, relay state changes) may be managed in the same way by simply defining a stable state for the event, e.g. in a stable state configuration table which also contains the default state for each event type.

Turning now to FIG. 1, there is illustrated an exemplary optical communication 10 system 100 consistent with the present invention. Those skilled in the art will recognize that the system 100 has been depicted in a highly simplified form for ease of explanation. It is to be understood that the present invention is not limited to illustrated exemplary embodiments described herein. In fact, the present invention may be incorporated into a wide variety of optical networks, systems and devices without departing from the spirit and scope of the 15 invention.

The optical communication system 100 includes transmitter/receiver terminals 103, 104 connected via an optical information channel 106 supporting bi-directional communication. For clarity, the terminal 103 is generally described and illustrated in FIG. 1 as a transmitting terminal and the terminal 104 is illustrated and generally described as a 20 receiving terminal. Of course, in a bi-directional communication system, both terminals 103, 104 may serve as transmitting and receiving terminals and, as such, each includes both transmitters and receivers and associated multiplexers and de-multiplexers, monitoring equipment, power feed equipment and discrete relay closures used for monitoring and control of other equipment. Change of states of these relay closures (e.g. open/close) generate events 25 with a default state equivalent to the provisioned relay (e.g. normally open or normally

closed). Depending on system characteristics and requirements, the optical information channel 106 may include one or more optical fiber paths 108, optical amplifiers 110, regenerators, optical filters, dispersion compensating modules, and other active and passive components. A variety of configurations for each of these elements will be known to those skilled in the art.

5 The transmitting terminal 103 includes optical transmitters 112, 114 ... 116 for transmitting optical communication channels at associated wavelengths, e.g.,  $\lambda_1, \lambda_2 \dots \lambda_n$ . Multiplexer 118 combines these signal into an aggregate signal that is launched into the optical fiber path 108 for transmission to the receiving terminal 104. The transmitting 10 terminal 103 also includes other managed elements 117, such as power feed equipment, monitoring equipment and relay closures.

At the receiving terminal 104, demultiplexer 120 demultiplexes the aggregate signal and routes the channel wavelengths, e.g.  $\lambda_1, \lambda_2 \dots \lambda_n$ , to receivers 122, 124, 126, respectively. Similarly, the terminal 104 may also include a multiplexer for combining signals into an 15 aggregate signal that is launched into an optical fiber path for transmission to a demultiplexer in the transmitter/receiver terminal 103. The receiving terminal 104 also includes other managed elements 127, such as power feed equipment, monitoring equipment and relay closures.

A NMS 102 may include a processor 130 and machine-readable media 132 and may 20 be coupled to the network to receive or request information from the network elements and provide management information to a network administrator through a user interface 134. The machine-readable media 132 may store software instructions for execution by the processor to allow active management of the configuration of the network and/or network elements through the user interface 134. The NMS 102 may provide any of a variety of 25 known network element management functions, and may be adapted to suit the particular

network it is intended to manage. Those skilled in the art will recognize that there are a variety of configurations for alarm or event reporting by the various network elements. The network elements may report internal alarms corresponding to localized faults, alarms associated with data received or transmitted, e.g. loss of optical signal, high BER, etc., and/or 5 event state changes associated with relay closure state changes.

Advantageously, a NMS 102 consistent with the invention may provide an event hysteresis function consistent with the invention for managing toggling events, e.g. alarms, on the network. FIG. 2 is a block flow diagram of one exemplary embodiment 200 of a method consistent with the invention. The block flow diagrams used herein to describe 10 various embodiments include particular sequences of steps. It can be appreciated, however, that the sequence of steps merely provides an example of how the general functionality described herein can be implemented. Further, each sequence of steps does not have to be executed in the order presented unless otherwise indicated. In the illustrated embodiment, the NMS monitors 202 a toggling alarm reported to the NMS from the network. If the alarm 15 maintains one state for at least a predetermined period of time, the alarm is deemed stable and the stable state is reported 204 by the NMS.

FIG. 3 is a block flow diagram of another exemplary embodiment 300 of a method consistent with the invention. In the illustrated embodiment, a toggling alarm is reported 302 by the NMS as being set/active. The toggling alarms may be periodically scanned 304 for 20 state changes. If the alarm state changes to cleared and remains cleared for at least a predetermined amount of time, i.e. the alarm stable time, the alarm is deemed stable and is reported 306 as cleared by the NMS. The alarm may be reported as cleared at the time of the last state change, i.e. not at the end of the alarm stable period, to provide accurate information as to when the alarm actually cleared. The number of times that the alarm toggled may also 25 be reported.

Some alarms may be treated differently than others in a system consistent with the invention. It may be desirable to avoid application of a hysteresis feature for some alarms. In the case of FEC threshold-crossing alarm clears, for example, it may be required to report the alarm clears as soon as they are reported from a network element. To facilitate immediate 5 reporting of these alarms, the pre-determined stable time associated with the alarm may be set to zero (0).

Also, it may be desirable to designate a longer or shorter alarm stable time for some alarms compared to others. To accommodate this, default treatment characteristics may be implemented in software for handling each alarm, e.g. on an element-by-element, event-by-10 event, or alarm-by-alarm basis. The default treatment characteristic for each alarm may be defined in an associated record including an alarm ID, optionally the network element (NE) where the alarm occurred, the alarm stable time for the alarm, and a hysteresis treatment type. A default alarm stable time may be, for example, 10 seconds. The hysteresis types may include; "Normal" for normal hysteresis treatment with the defined alarm stable time; and 15 "Suppress" which may cause the hysteresis function to not report any state changes.

The hysteresis function may be configured for selectively varying the default treatment characteristics for a particular alarm or group of alarms. In one embodiment, the hysteresis settings for a particular alarm or group of alarms may be configurable in a configuration file. For example, the configuration file may facilitate changing the alarm 20 stable time (e.g. between 0 and 60 seconds, where 0 disables hysteresis treatment) or the hysteresis treatment type. The settings for alarms or groups of alarms may be defined in the configuration file based on a network element type or ID. For example, a setting may be configured for particular cable and/or network element. Also, the default alarm stable time may be configured by defining a group including all network elements and setting a new

alarm stable time. If no configuration file is found for a particular alarm, the default hysteresis setting may be applied.

Additional configuration settings are possible. For example, the hysteresis functionality may be set in the configuration file to depend on environmental variables. Also,

5 the configuration file may be used to instruct an alarm or group of alarms to be treated in accordance with the configuration file for another alarm or group of alarms. Also, the time period for checking the state of each toggling alarm may be modified, and a default alarm stable time may be set in the configuration file and be modified by other configuration settings.

10 To facilitate alarm management, a hysteresis table may be established for listing all un-stable, i.e. toggling, alarms. The table may be updated automatically. FIG. 4 is a flow chart illustrating the flow for one exemplary embodiment 400 of a method of automatically

15 updating a hysteresis table consistent with the invention. As shown, the alarm state may be received 402 from a network element. If the received alarm is already entered in a hysteresis

table 404, the illustrated process flow ends 412 with an update of the table to reflect the state and time of the last state change 408. Otherwise, an entry for the alarm may be added 406 to the table. If the alarm is not active 410, the process flow ends 412. If the alarm is active 410,

the process flow ends 412 with communication of an alarm set notification 414.

Again, in one embodiment, unstable alarms may be reported as set/active. As soon as 20 an unstable alarm maintains a cleared state for a predetermined alarm stable time, it may be removed from the hysteresis table. FIG. 5 is a flow chart illustrating one exemplary

embodiment for clearing stable alarms from a hysteresis table consistent with the invention.

In the illustrated exemplary embodiment, process flow begins 500 with setting the cursor to the first hysteresis table element 502. If the table is empty or all elements have been

25 processed 504, then the process flow ends 506. Otherwise, if the last state change time plus

the alarm stable time,  $t$ , associated with the alarm is not less than the current time 508, then the alarm is deemed unstable and the table entry associated with the alarm is left 510 in the table. The table cursor is then moved 512 to the next table element.

5 If the last state change time plus the alarm stable time,  $t$ , associated with the alarm is less than the current time 508, then the alarm is deemed stable and the entry for the alarm is removed 514 from the table. If the alarm state is cleared 516, an alarm clear notification is sent 518. Then the table cursor is moved 512 to the next table element. The process flow moves through each table element to end 506 after the last entry. The process may be repeated periodically to remove unstable alarms at a desired frequency.

10 It will be appreciated that the functionality described for the embodiments of the invention may be implemented using hardware, software, or a combination of hardware and software. If implemented in software, a processor and machine-readable medium are required. The processor can be any type of processor capable of providing the speed and functionality required by the embodiments of the invention. For example, the processor  
15 could be a processor from the Pentium® family of processors made by Intel Corporation, or the family of processors made by Motorola. Machine-readable media include any media capable of storing instructions adapted to be executed by a processor. Some examples of such media include, but are not limited to, read-only memory (ROM), random-access memory (RAM), programmable ROM (PROM), erasable programmable ROM (EPROM), electronically erasable programmable ROM (EEPROM), dynamic RAM (DRAM), magnetic disk (e.g. floppy disk and hard drive), optical disk (e.g. CD-ROM), and any other device that  
20 can store digital information. In one embodiment, the instructions are stored on the medium in a compressed and/or encrypted format.

As used herein, the phrase “adapted to be executed by a processor” is meant to  
25 encompass instructions stored in a compressed and/or encrypted format, as well as

instructions that have to be compiled or installed by an installer before being executed by the processor. Further, although the processor 130 and a single machine readable medium 132 are illustrated as being local to the NMS 102 in FIG. 1, the processor and/or machine-readable medium may be part of a larger distributed system that may contain various 5 combinations of machine-readable storage devices, which are accessible by the processor through various I/O controllers and which are capable of storing a combination of computer program instructions and data.

The embodiments that have been described herein, however, are but some of the several which utilize this invention and are set forth here by way of illustration but not of 10 limitation. It is obvious that many other embodiments, which will be readily apparent to those skilled in the art, may be made without departing materially from the spirit and scope of the invention as defined in the appended claims.